

SOPHISTICATED INVESTOR DECLARATION FORM

New account Existing account

Master Account Number

To: Eastspring Investments Berhad (531241- U)

Level 12, Menara Prudential,
10 Jalan Sultan Ismail, 50250 Kuala Lumpur

Client Services (603) 2332 1000

Client Services Fax (603) 2052 3366

INDIVIDUAL APPLICANT DETAILS

Full Name of

Principal Holder

(as in NRIC/Passport/etc)

NRIC/Passport/ID No.

Full Name of

Jointholder

(as in NRIC/Passport/
Birth Certificate)

NRIC/Passport/Birth
Certificate No./ID No.

NON-INDIVIDUAL APPLICANT DETAILS

Name of Organisation

(as in Company
Registration)

Company

Registration No.

DECLARATION AND SIGNATURES

I/We hereby confirm that I/We am/are a sophisticated investor (Please tick (✓) where applicable) as defined under Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework.

Accredited Investor
(Please submit additional verification documents where applicable)

High net worth entity
(Please submit additional verification documents where applicable)

High net worth individual

I/We hereby acknowledge and agree to indemnify Eastspring Investments Berhad ("Eastspring") against all actions, suits, proceedings, claims, damages, and losses which may be suffered by Eastspring as a result of any inaccuracy of the declarations made herein.

I/We hereby solemnly affirm and declare that all the above are true.

Principal Account Holder/Authorised Signatory

Full Name:
Date:

Joint Account Holder/Authorised Signatory

Full Name:
Date:

Company/Official Stamp

Note:

* For joint account holders, both the Principal Account Holder and Joint Account Holder must complete the declaration.

ADDITIONAL VERIFICATION DOCUMENTS FOR SOPHISTICATED INVESTORS IN WHOLESALE FUNDS

No	Category	Sophisticated Investor	Additional Verification Document
1.	Accredited Investors	A holder or an executive director or a chief executive officer of a holder of a Capital Markets Services License.	Copy of the license or equivalent.
		A unit trust scheme, prescribed investment scheme or private retirement scheme.	Copy of the approval by the regulator or equivalent.
		A closed end fund approved by the Commission.	Copy of the approval by the regulator or equivalent.
		A bank licensee or insurance licensee as defined under the Labuan Financial Services and Securities Act 2010.	Copy of the license or equivalent.
		An Islamic bank licensee or takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010.	Copy of the license or equivalent.
		A licensed institution as defined in the Banking and Financial Institutions Act 1989 or an Islamic bank as defined in the Islamic Banking Act 1983.	Copy of the license or equivalent.
		An insurance company registered under the Insurance Act 1996 or a takaful operator registered under the Takaful Act 1984.	Copy of the license or equivalent.
2.	High Net Worth Entity	A company that is registered as a trust company under the Trust Companies Act 1949 which has assets under management exceeding RM10 million or its equivalent in foreign currencies.	<ul style="list-style-type: none"> • Copy of the registration or equivalent; and • Copy of the audited accounts.
		A corporation that is a public company under the Companies Act 1965 which is approved by the Securities Commission Malaysia to be a trustee under the Capital Markets and Securities Act 2007 and has assets under management exceeding RM10 million or its equivalent in foreign currencies.	<ul style="list-style-type: none"> • Copy of the approval by the regulator or equivalent; and • Copy of the audited accounts.
		A corporation with total net assets exceeding RM 10 million or its equivalent in foreign currencies based on the last audited accounts.	Copy of the audited accounts.
		A partnership with total net assets exceeding RM 10 million or its equivalent in foreign currencies.	Copy of the audited accounts where applicable
		A statutory body established by an Act of Parliament or an enactment of any State.	Copy of relevant clause in the Act of Parliament or State enactment or equivalent.
		A pension fund approved by the Director General of Inland Revenue under section 150 of the Income Tax Act 1967.	Copy of the approval by the regulator or equivalent.
3.	High Net Worth Individual	An individual whose total net personal assets, or total net joint assets with his or her spouse, exceed RM 3 million or its equivalent in foreign currencies, excluding the value of the individual's primary residence;	-
		An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies per annum in the preceding twelve months; or	-
		An individual who jointly with his or her spouse, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies per annum in the preceding twelve months.	-

Note: Copy of the audited accounts shall be certified as true copy by the Director/Secretary/Partner/Trustee.