

MASTER ACCOUNT OPENING FORM

NON-INDIVIDUAL

DOCUMENTATION CHECKLIST

For successful processing of this application, please enclose the relevant documents.

This application will be rejected and considered void if the form and/or supporting documents are incomplete.

- Duly completed and signed Master Account Opening Form (Non-Individual)
- Duly completed and signed Suitability Assessment Form (Non-Individual)
- Duly completed and signed Personal Data Protection Notice Form (PDPA) for all Authorised Signatories and Directors
- Duly completed and signed FATCA and CRS Self-Certification Form (Non-Individual)
- Duly completed and signed FATCA and CRS Self-Certification Form (Controlling Person) (if applicable)

For applicants with US indicia:

- Relevant US tax forms

AND

Certified true copies of the following documents (where applicable):

For company incorporated in Malaysia (certified by company secretary and/or director):

- Board Resolution to open account with Specimen Signatures of all Authorised Signatories
- Photocopy of NRIC or Passport of all Authorised Signatories who are not Directors
- Certificate of Incorporation of Company (Form 9 or its equivalent)
- Latest Notice of Change of Company Name (Form 13 or its equivalent), *if applicable*
- Latest Return of Allotment of Share (Form 24 or its equivalent)
(not required for a public listed company or an entity licensed by SC, BNM or LOFSA)
- Latest Notice of Change of Registered Office (Form 44 or its equivalent), *if applicable*
- Latest Particulars in Register of Directors, Managers and Secretaries (Form 49 or its equivalent)
- Memorandum and Articles of Association (M&A) or its equivalent
- Corporate Structure, *if applicable*
- Latest Annual Return (Investment into retail UT fund)
- Latest Audited Account (Investment into wholesale Fund only)
- Sophisticated Investor Declaration Form (investment into wholesale fund only)

Payment Options

For cash investments:

- Transaction Form – BUY
- Cheque made payable to “Eastspring Investments Berhad” (for MYR class only)
Note: *Third party cheques and third party online transfers are not allowed.*
- Online Transfer [GIRO/RENTAS]
Note: *Please provide a copy of the online transfer receipt.*

For Regular Investments Plan:

- Transaction Form – REGULAR INVESTMENTS
- Auto Debit/Direct Debit Authorisation Form

PRINCIPAL BUSINESS ACTIVITIES CODE AND DESCRIPTION

Code	Description	Code	Description
10	Debt Collector	17	Real Estate (e.g. Real Estate Brokers, Developers, Investment in Real Estate etc.)
11	Freelance Traders	18	Cash Intensive Business (e.g. Convenience Store, Restaurants, Car Parking Service)
12	Money Service Business (e.g. Money Changer, Money Transfer Agent at Remittance Agency)	19	Night Club, Karaoke, Lounge, Sauna, Bar
13	Casinos, Betting and Other Gambling Related Activities	20	Snooker Centre, Internet Café Operator
14	Weapons or Armament Related Business Manufacturers, Dealers and Intermediaries	21	Federal or State Government, Regulatory Authority
15	Business in Valuable or Precious Goods (e.g. Arts, Antiques, Diamonds, Gold)	22	Audit, Accounting, Tax, Legal Firm, Company Secretary
16	Business in High Value/Low Density Goods (e.g. Mobile Phones, Computer Chips, Pharmaceutical Products, Textiles etc.)	23	Financial Institution, Capital Market Institution/Intermediary
		99	Others





A member of Prudential plc (UK)

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Client Services Fax (603) 2052 3366
Email cs.my@eastspring.com
Website www.eastspringinvestments.com.my

**MASTER ACCOUNT
 OPENING FORM**
NON-INDIVIDUAL

In accordance with the requirements of the Capital Markets and Services Act 2007 (CMSA), this Master Account Opening Form ("MAOF") should not be circulated unless accompanied by the latest prospectus or information memorandum and any supplemental thereto (if any). You should read and understand the contents of the latest prospectus or information memorandum and any supplemental thereto (if any) and product highlights sheet before completing the MAOF. Complete in **BLOCK LETTERS** and **BLACK INK** only and tick (✓) where applicable. **All field names are mandatory. Please indicate N/A if not applicable.**

1 COMPANY/ORGANISATION DETAILS

Name of Organisation
(as per Certificate of Incorporation/Registration)

Company Registration No. **Date of Incorporation/Registration** - -

Country of Incorporation/Registration* **Malaysia** **Others** *(Please specify)*

Correspondence Address
Note: "Hold mail" and "c/o" is not acceptable.

Postcode **City**
State **Country***

Registered Office Address
 Same as Correspondence Address
(Please tick above box or provide registered office address)

Postcode **City**
State **Country***

Principal Place of Business
 Same as Correspondence Address
(Please tick above box or provide principal place address)

Postcode **City**
State **Country***

Contact No.*

Office - - **Office Extension**

Fax - -

COUNTRY CODE* AREA CODE PHONE NUMBER

Principal Business Activities

Code **Others** *(Please specify)*

(Kindly refer to list of codes available under Principal Business Activities Code and Description section)

Financial Year End - *(only applicable for wholesale fund investors)*

d d m m

PLEASE COMPLETE THIS SECTION (MANDATORY)

Type of Entity <i>(You are allowed to tick (✓) more than one option)</i>	Affiliations	Source of Funds
<input type="checkbox"/> Financial Institution ⁽¹⁾ <i>(Please provide relevant US tax forms)*</i> <input type="checkbox"/> Trust ⁽²⁾ <i>(Please provide relevant US tax forms)*</i> <input type="checkbox"/> Listed Company Trading Name/Stock Code: <input type="text"/> Exchange Name: <input type="text"/> <input type="checkbox"/> Non-listed Company Does any US Person/entity ⁽³⁾ , directly or indirectly, own more than 25% of the organisation? <input type="checkbox"/> Yes <i>(Please provide relevant US tax forms)*</i> <input type="checkbox"/> No <input type="checkbox"/> Others <input type="text"/>	Are you an affiliate company of a group of companies? <input type="checkbox"/> Yes <i>(Please provide corporate structure)</i> <input type="checkbox"/> No <hr/> Company Status <input type="checkbox"/> Bumiputra controlled <input type="checkbox"/> Non-Bumiputra controlled <input type="checkbox"/> Non-Malaysian controlled	<input type="checkbox"/> Disposal of non-core business asset/investments <input type="checkbox"/> Fund raising exercise such as rights issue <input type="checkbox"/> Cash in hand/surplus funds/working capital

(1) **Financial institution** refers to any organisation that holds a banking, securities, and/or life insurance license. Examples of financial institutions include banks, life insurers, custodians, asset managers, investment funds.
 (2) **Trust** refer to any legal arrangement or structure that holds and controls asset(s) for the benefit of others (i.e. an individual or company that is not the trust or trustee).
 (3) **US person/entity** is defined as one of the following:
 • Citizen or resident of the US
 • US partnership or corporation
 • Any estate of which any executor or administrator is a US person
 • Any trust subject to US supervision and substantially controlled by a US person

* If country/country code is United States of America (US), please provide the relevant US tax forms. Please note that nothing on this form is intended to constitute as tax advice. Please seek independent tax advice or refer to www.irs.gov for more information on the appropriate tax form to be provided.



2 CONTACT PERSONS' DETAILS

Name of Contact Person 1

Designation

Department

Contact No.* **Office** - - **Office Extension**

Fax - -

COUNTRY CODE* AREA CODE PHONE NUMBER

Office Email Address

By providing your email address(es) and/or mobile number(s) to us, we have your consent to communicate and send information to you via email and/or short messages (sms). Notices delivered via email or sms to you are deemed to have been sent and received on the date such message is sent.

Please tick (✓) here if you wish to receive communication and information in hardcopy.

Name of Contact Person 2

Designation

Department

Contact No.* **Office** - - **Office Extension**

Fax - -

COUNTRY CODE* AREA CODE PHONE NUMBER

Office Email Address

By providing your email address(es) and/or mobile number(s) to us, we have your consent to communicate and send information to you via email and/or short messages (sms). Notices delivered via email or sms to you are deemed to have been sent and received on the date such message is sent.

Please tick (✓) here if you wish to receive communication and information in hardcopy.

3 STANDING PAYMENT INSTRUCTION AND INCOME DISTRIBUTION

Note: Only bank account located in Malaysia will be accepted. PAYMENT CANNOT BE MADE TO ACCOUNTS IN THE NAME OF THIRD PARTIES.

Bank Account Holder Name	<input type="text"/>	
Currency <small>(e.g. MYR, USD, SGD)</small>	MYR	OTHER CURRENCY CLASSES
Bank Name	<input type="text"/>	<input type="text"/>
Bank Branch	<input type="text"/>	<input type="text"/>
Country*	<input type="text"/>	<input type="text"/>
Account No.	<input type="text"/>	<input type="text"/>
Swift Code	Not applicable	

Income distribution

All distributions will be **reinvested** automatically unless otherwise specified herewith.

Pay to bank account as specified in the standing payment instruction.

Pay by cheque (for MYR class only)

4 DECLARATION AND SIGNATURE(S) (AS PER BOARD RESOLUTION)

I/We acknowledge receipt and declare that I/we have read and understood the contents of the latest prospectus or information memorandum and supplemental prospectus or information memorandum thereto (if any) and product highlights sheet for the fund(s), the Terms and Conditions of the Eastspring Investments Berhad ("Eastspring") Master Account ("Eastspring Master Account") prior to completing the Eastspring MAOF.

I/We undertake to be bound by the latest prospectus or information memorandum and supplemental prospectus or information memorandum thereto (if any) and product highlights sheet for the fund(s), the Terms and Conditions of the Eastspring Master Account and provisions of the deed and supplemental deed (if any) of the fund(s) for my/our initial and all subsequent transactions with Eastspring.

I/We am/are also aware of the fees and charges that I/we will incur directly or/and indirectly when investing in the fund(s).

I/We am/are not acting as a nominee for any undisclosed third party and none of the investment monies invested with Eastspring was derived from proceeds from an unlawful activity as defined by the Anti-Money Laundering and Anti-Terrorism Financing Act 2001.

I/we hereby agree to indemnify Eastspring against all actions, suits, proceedings, claims, damages, and losses which may be suffered by Eastspring as a result of any inaccuracy of declarations herein.

For sole proprietor only

I hereby declare that I am the sole legal and beneficial owner of all the monies invested with Eastspring.

For non-listed entities

I/We hereby declare that the company is NOT any one of the following:

(a) Financial institution; (b) A trust; (c) A non-listed entity of which more than 25% is directly/indirectly owned by any US person/entity; or (d) Required to file a tax return to the United States.

Authorised Signatory 1 Date	Authorised Signatory 2 Date	Company Stamp
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DETAILS OF EASTSPRING UNIT TRUST CONSULTANT ("UTC")

UTC Code **Name**

Reporting Branch **Contact No.**

* If country/country code is United States of America (US), please provide the relevant US tax forms. Please note that nothing on this form is intended to constitute as tax advice. Please seek independent tax advice or refer to www.irs.gov for more information on the appropriate tax form to be provided.

TERMS AND CONDITIONS OF THE EASTSPRING INVESTMENTS MASTER ACCOUNT

Definitions

“Agent” means any authorised distributor of Funds managed by Eastspring and refers to registered Eastspring Unit Trust Consultants, Institutional Unit Trust Advisers and Corporate Unit Trust Advisers.

“Applicant” means a person or entity applying to open a Master Account with Eastspring.

“Deed” means the deeds or master deeds for the respective Funds and any other supplemental deeds and master supplemental deeds that may be entered into between Eastspring and the Trustee and registered with the Securities Commission Malaysia.

“Eastspring” means Eastspring Investments Berhad.

“Fund” or **“Funds”** means any unit trust schemes managed by Eastspring.

“Offering Document” means the relevant prospectus or information memorandum of the Funds, and supplemental prospectus or information memorandum thereto (if any) and product highlights sheet for the Fund(s), issued by Eastspring and has been lodged or deposited (where applicable) with the Securities Commission Malaysia.

“Trustee” means Deutsche Trustees Malaysia Berhad

“Unit Holder” means the person or persons or entity for the time being who is registered pursuant to the Deed as a holder of units of the Fund(s).

By applying to open a Master Account with Eastspring, the Applicant agrees that:

The Applicant will be bound by these Terms and Conditions and the terms of the constituting documents such as the Deed and Offering Documents of the relevant Fund(s) in respect of all transactions. Where there are conflicting terms, the terms of the relevant Deed shall prevail.

1. Instructions/Voice Recordings

- 1.1. All instructions given or purported to be given via any written or facsimile transaction by the persons authorised to operate the Eastspring Master Account as named in the Eastspring Master Account Opening Form (“the Form”) or otherwise in writing are binding on the Applicant. Eastspring shall have no obligation to verify the authenticity of any such instructions or the identity of any person giving such instructions.
- 1.2. Eastspring shall be entitled to use voice recording devices to record instructions communicated to it and such recording(s) may constitute evidence of the instructions.

2. Agent

- 2.1. If an Agent is named in the Form, Eastspring is entitled to process any instructions received from such Agent without further reference to the Applicant unless Eastspring has received prior contrary instructions in writing duly signed by the Applicant.
- 2.2. Eastspring shall be entitled to regard the Agent as the Applicant’s agent throughout the period of operation of the Eastspring Master Account unless otherwise notified in writing. Eastspring shall not be responsible for any action or omission on the part of the Agent and shall be under no obligation to verify the authenticity of the instructions received or to determine whether or not such instructions were authorised. The Applicant shall have no right of action against Eastspring in connection with the execution by Eastspring of such instructions and undertakes not to make any claim against Eastspring in connection thereof.
- 2.3. Eastspring reserves the right to reassign another qualified person to replace Applicant’s Agent at any time deemed fit and without having to give any reason whatsoever by prior notice.

3. Confirmation Advices/Statements

Confirmation advices, statements, cheques and other documents shall be sent at the risk of the Applicant to the Applicant’s address as detailed in the Form. If the Applicant fails to notify Eastspring in writing of any errors in the confirmation advice within 14 calendar days, or in the statement within 30 days of issue, the Applicant shall be deemed to have waived any right to raise any objection to pursue any remedies against Eastspring or the relevant Trustee(s).

4. Monies

- 4.1. All application monies must be paid or remitted as instructed and any cheque(s) must be honoured when presented.
- 4.2. If an application is rejected in whole or in part, the application monies or balance thereof will be returned (without interests, or any returns in the case of Islamic funds) by Eastspring by cheque or, at the cost of the Applicant, by telegraphic transfer, within 30 calendar days from the date of application.
- 4.3. The Applicant confirms that Eastspring may issue a cheque in Ringgit Malaysia to settle any redemption or other monies (if any) payable by Eastspring. Such cheque shall be made payable to the Unit Holder(s) registered from time to time and sent to the last registered address of the Unit Holder(s) on Eastspring’s records unless otherwise notified in writing.
- 4.4. In the absence of any expressed instructions in the Form, the Applicant hereby authorises Eastspring to automatically reinvest any distributions in the relevant Fund(s) without further reference to the Applicant.

5. Rejection/Cancellation of Application

Eastspring as the Manager of the respective Funds is entitled to:

- (a) Reject any Form, which is not completed in full and supported by the requested documents and payments;
- (b) Cancel any units issued if the payment for the units cannot be matched within 7 business day of the receipt of the application or subscription instruction; and
- (c) In its absolute discretion (without giving any reason) reject in whole or part hereof any application for subscription or switching and suspend the operation of the Eastspring Master Account.

6. Representation

The Applicant shall not rely on any information or representations other than those contained in the relevant Deed, Offering Documents and their supplementals, if any.

7. Notices

All notices and other documents shall be sent at the risk of the Applicant to the Applicant’s permanent address as stated in the Form. Unless due to wilful default or negligence of Eastspring, Eastspring shall not be responsible for any inaccuracy, interruption, error, delay or failure in transmission or delivery of any notices via whatever means as agreed by the Applicant, or for any equipment failure or malfunction.

Eastspring shall not be liable for any direct or indirect consequential losses arising from the foregoing.

8. Indemnity

- 8.1. The Applicant hereby indemnifies Eastspring and the Trustee and any of their Agents against any actions, proceedings, claims, losses, damages, costs and expenses which may be brought, suffered or incurred by any or all of them arising either directly out of or in connection with this Form or in connection with Eastspring accepting, relying on or failing to act on any instructions given by or on behalf of the Applicant unless due to the wilful default or negligence of Eastspring.
- 8.2. The Applicant acknowledges and accepts that Eastspring has absolute discretion to rely on facsimile confirmation from the Applicant and undertakes to indemnify and hold harmless Eastspring, its employees and Agents against all costs, expenses, loss of liabilities, claims and demands arising out of relying on the Applicant’s confirmation.

9. Set Off

Eastspring is entitled to set off any claim, which Eastspring or the Trustee may have against any of the assets, units or cash of the Applicant held by Eastspring or relevant Trustee(s).

10. Tax Implications

The Applicant/Unit Holder shall be acquainted with the relevant tax laws and exchange control regulations in force in the countries of domicile, registration or incorporation of the entity. The Applicant/Unit Holder shall be liable to pay and/or settle all the relevant taxes payable by the Applicant/Unit Holder in accordance to the relevant laws and exchange control regulations in force in the countries of domicile, registration or incorporation of the entity. Eastspring in compliance with the Malaysian Tax law and any other applicable law shall deduct the relevant applicable taxes accordingly wherever applicable to the Applicant/Unit Holder without prior notification to the Applicant/Unit Holder. All the deduction will be incorporated in the statements of the applicant and Eastspring shall not be held liable for whatsoever reasons for any tax payments deducted on behalf of the Applicant/Unit Holder according to the Malaysia’s Tax regulations and any other applicable law.

11. Sophisticated Investors

Only a sophisticated investor(s) may invest in a Wholesale Fund. The Guidelines on Unlisted Capital Market Products under the Lodge and Launch Framework defines a sophisticated investor to include any person who comes within any of the categories of investors as set out below:

- (a) a holder of a Capital Markets Services License.
- (b) a unit trust scheme, prescribed investment scheme or private retirement scheme.
- (c) Bank Negara Malaysia.
- (d) a closed end fund approved by the Commission.
- (e) a bank licensee or insurance licensee as defined under the Labuan Financial Services and Securities Act 2010.
- (f) an Islamic bank licensee or takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010.
- (g) a licensed institution as defined in the Banking and Financial Institutions Act 1989 or an Islamic bank as defined in the Islamic Banking Act 1983.
- (h) an insurance company registered under the Insurance Act 1996 or a takaful operator registered under the Takaful Act 1984.
- (i) a company that is registered as a trust company under the Trust Companies Act 1949 which has assets under management exceeding RM10 million or its equivalent in foreign currencies; or
- (j) a corporation that is a public company under the Companies Act 1965 which is approved by the Commission to be a trustee under the Act and has assets under management exceeding RM10 million or its equivalent in foreign currencies.
- (k) a corporation with total net assets exceeding RM 10 million or its equivalent in foreign currencies based on the last audited accounts; or
- (l) a partnership with total net assets exceeding RM 10 million or its equivalent in foreign currencies.
- (m) a statutory body established by an Act of Parliament or an enactment of any State.
- (n) a pension fund approved by the Director General of Inland Revenue under section 150 of the Income Tax Act 1967.

12. Miscellaneous

- 12.1. References to persons included entities, references to one gender include both genders and references to the singular includes the plural and vice versa.
- 12.2. The Form is personal to the Applicant and cannot be changed, assigned or transferred in any way by the Applicant.
- 12.3. Eastspring reserves the right to vary the Terms and Conditions at any time without notifying the Applicant.

13. Compliance with laws in various jurisdictions

- 13.1. Eastspring may be obliged to comply with or, at its sole and absolute discretion, choose to have regard to, observe or fulfil the requirements or expectations of the laws, regulations, orders, guidelines, codes, market standard, good practices and requests of or agreements with public, judicial, taxation, governmental and other regulatory authorities or self-regulatory bodies (the “Authorities” and each an “Authority”) in various jurisdictions relating to any matter in connection with its business including without limitation, tax compliance, anti-money laundering, sanctions, terrorism financing or the prevention and detection of crime as amended, promulgated and introduced from time to time (the “Applicable Requirements”).
- 13.2. In this connection, Eastspring may disclose the particulars or any information concerning Unit Holders and/or their investments to any Authority in connection with its compliance or adherence (voluntary or otherwise) with the Applicable Requirements.
- 13.3. If a Unit Holder where applicable does not:
 - (a) provide to Eastspring in a timely manner with the information or documents required as set out in the Offering Document/Eastspring Master Account or additional information as requested from time to time; and/or
 - (b) provide to Eastspring with information or documents that are up-to-date, accurate or complete,

such that Eastspring is unable to ensure its ongoing compliance or adherence (whether voluntary or otherwise) with the Applicable Requirements, the Unit Holder, where applicable accepts and agrees that Eastspring may take such steps as it deems fit as set out in the Offering Document of the relevant Funds.